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Applicant's Docket No.: 3801P001C3

Pat nt

In re the Application of: Hess et al.  
(inventor(s))

Application No.: 09/777,306

Filed: February 5, 2001

For: INFORMATION PRESENTATION AND MANAGEMENT IN AN ONLINE TRADING ENVIRONMENT

(title)

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MAR 29 2002

Technology Center 2100

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

SIR: Transmitted herewith is an Amendment for the above application.

- ☐ Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.
- ☐ No additional fee is required.

The fee has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra	Rate	Additional Fee	Rate	Additional Fee
Total Claims	* 34	Minus	** 34	0	X9	\$	X18	\$ 0
Indep. Claims	* 10	Minus	*** 10	0	X42	\$	X84	\$ 0
<div>First Presentation of Multiple Dependent Claim(s)</div>					+140	\$	+280	\$
					Total Add. Fee	\$	Total Add. Fee	\$ 0.00

- \* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- \*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- \*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

POSTAGE AND FEE GUARANTEED  
(27 C.F.R. 1.51)

I hereby certify that this document is a true and correct copy of the original as filed with the United States Patent and Trademark Office, and that it is being submitted with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

On March 11, 2002  
By Debbie Pelogin  
Assistant Commissioner for Patents  
Debbie Pelogin 3-11-02

\_\_\_\_\_ A check in the amount of \$ \_\_\_\_\_ is attached for presentation of additional claim(s).

\_\_\_\_\_ Applicant(s) hereby Petition(s) for an Extension of Time of \_\_\_\_\_ month(s) pursuant to 37 C.F.R. § 1.136(a).

\_\_\_\_\_ A check for \$ \_\_\_\_\_ is attached for processing fees under 37 C.F.R. § 1.17.

\_\_\_\_\_ Please charge my Deposit Account No. 02-2666 the amount of \$ \_\_\_\_\_.

**A duplicate copy of this sheet is enclosed.**

  X   The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-2666 (a duplicate copy of this sheet is enclosed):

  X   Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of extra claims.

  X   Any extension or petition fees under 37 C.F.R. § 1.17.

Date: 3/11, 2002

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Our Docket No: 3801P001C3

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Patent Application of:

Hess, et al.

Serial No.: 09/777,306

Filed: February 5, 2001

For: INFORMATION PRESENTATION AND  
MANAGEMENT IN AN ONLINE TRADING  
ENVIRONMENT

) Examiner: Not yet assigned

) Art Unit: Not yet assigned

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This is a continuation of:  
Application No.: 09/436,566

Filed: November 9, 1999

) Examiner: Not yet assigned

) Art Unit: 2756

**THIRD PRELIMINARY AMENDMENT AND REMARK**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to examining the above-referenced application, the Applicants respectfully request the Examiner to enter the following amendment and to consider the following remark.

FIRST CLASS CERTIFICATE OF MAILING  
(37 C.F.R. § 1.8 (2))

I hereby certify that this correspondence was deposited with the United States Patent and Trademark Office with sufficient postage for first class mailing.

On March 11, 2002

Debbie Pelquin

Debbie Pelquin 3-11-02